

From: Charles Kerr
To: Microsoft ATR
Date: 1/23/02 1:08pm
Subject: Microsoft Settlement

To Whom It May Concern:

I am opposed to the Revised Proposed Final Judgement in the Microsoft antitrust trial. While it is an improvement over the previous proposal, the revised proposal still has many stipulations that are unlikely to be enforced.

Here are the stipulations that I find questionable, from section III, "Prohibited Conduct", of the revised proposal.

- A. "or by withholding newly introduced forms of non-monetary Consideration (including but not limited to new versions of existing forms of non-monetary Consideration)"

This does not address the possibility of Microsoft withholding existing forms of non-monetary considerations from OEMs for supporting non-Microsoft products.

- A. 2. "shipping a Personal Computer that (a) includes both a Windows Operating System Product and a non-Microsoft Operating System, or (b) will boot with more than one Operating System;"

Does not address the possibility of an OEM shipping some computers without any Microsoft Operating System at all.

- C. 1. "except that Microsoft may restrict an OEM from displaying icons, shortcuts and menu entries for any product in any list of such icons, shortcuts, or menu entries specified in the Windows documentation as being limited to products that provide particular types of functionality, provided that the restrictions are non-discriminatory with respect to non-Microsoft and Microsoft products."

Microsoft could claim that a product that competes with their own product has a prohibited type of functionality. It's easy to take two programs that provide a similar, but not identical, functionality and add the difference to the list of prohibited functionality.

To be plain, I don't see what possible positive use this condition could have. Surely if the OEM wants to add value by including software, that's should be the OEM's decision?

- C. 3. "Launching automatically ... any Non-Microsoft Middleware

if a Microsoft Middleware Product that provides similar functionality would otherwise be launched automatically at that time"

Seems to allow Microsoft to limit middleware functionality to only the set provided by Microsoft middleware.

If a .NET competitor added extra functionality for a competitive advantage, could an OEM be restricted from bundling it?

- C. 3. "provided that any such Non-Microsoft Middleware displays on the desktop no user interface or a user interface of similar size and shape to the user interface displayed by the corresponding Microsoft Middleware Product."

This forces competing software vendors to follow Microsoft's lead in these type of products and again seems to restrict functionality to only that supported by Microsoft middleware.

- D. It's been commented on elsewhere that this section allows Microsoft to shut out noncommercial concerns, such as Free Software projects and government agencies, from documentation. The definition of ISV seems to be wide enough to address these concerns, but I include this point here in case my interpretation is in error. :)

- E. "and (ii) used to interoperate natively (i.e., without the addition of software code to the client operating system product) with a Microsoft server operating system product."

This clause seems to add a loophole without any apparent benefit.

- F. 2. "Except that Microsoft may enter into agreements that place limitations on an ISV's development, use, distribution or promotion of any such software if those limitations are reasonably necessary to and of reasonable scope and duration in relation to a bona fide contractual obligation of the ISV to use, distribute or promote any Microsoft software or to develop software for, or in conjunction with, Microsoft."

What is the interpretation of "reasonable"? Would it be reasonable, for example, for Microsoft to place limitations on an ISV's ability to distribute Linux if the ISV entered into a contractual obligation to distribute Windows?

- G. 1. This stipulation is contradictory. It claims that Microsoft may not enter into a contract that will force the other party to exclusively or favorably deal with Microsoft products as opposed to competing products. Then it says that they actually can do this as long as

they can provide numbers that show it is reasonable to favor the Microsoft product. Since Microsoft has such a large percentage of the market they will always be able to produce numbers that show this. The DOJ must never let them enter into an agreement that removes the other parties right to use a competing product.

H. 3. "without first seeking confirmation from the user"

The entire idea of automatically altering an OEM's configuration of icons, shortcuts, or menu entries seems to be nothing more than a way of circumventing section III C, and should be prohibited. Barring that, there should be constraints on what form this confirmation will take. Will it pop up each time Windows is booted after the first 14 days? Will it explain the choice, or simply say "Your Windows configuration may not be correct. Would you like to correct it?"

J. 1. This clause would seem to break other interoperability clauses. How, for example, will third-party tools be able to interoperate with the Microsoft platform if the authentication protocols are closed?

J. 2. "(b) has a reasonable business need for the API, Documentation or Communications Protocol for a planned or shipping product"
"(c) meets reasonable, objective standards established by Microsoft for certifying the authenticity and viability of its business"
"(d) agrees to submit, at its own expense, any computer program using such APIs, Documentation or Communication Protocols to third-party verification, approved by Microsoft, to test for and ensure verification and compliance with Microsoft specifications for use of the API or interface"

This condition will allow Microsoft to close off documentation from free software developers, such as Linux and its tools like Samba. These are non-commercial programs, and therefore have no "business need". Likewise, not many free projects will have the funds to comply with J.2.(d).

Moreover, this agreement only limits Microsoft's future behavior. It does nothing to punish them for past behavior that has been found to be anticompetitive.

Thank you for your time.

Charles Kerr
Software Developer